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## Effects of FK228, a novel histone deacetylase inhibitor, on human lymphoma U-937 cells *in vitro* and *in vivo*

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### Abstract

FK228 [(E)-(1S,4S,10S,21R)-7-[(Z)-ethylidene]-4,21-diisopropyl-2-oxa-12,13-dithia-5,8,20,23-tetraazabicyclo-[8,7,6]-tricos-16-ene-3,6,9,19,22-pentanone; FR901228, depsipeptide] is a novel histone deacetylase inhibitor that shows therapeutic efficacy in Phase I trials of patients with malignant lymphoma. However, its mechanism of action has not been characterized. In this study, we examined the *in vitro* and *in vivo* effects of FK228 on human lymphoma U-937 cells. FK228 very strongly inhibited the growth of U-937 cells with an IC<sub>50</sub> value of 5.92 nM. In a *scid* mouse lymphoma model, mice treated with FK228 once or twice a week survived longer than control mice, with median survival times of 30.5 (0.56 mg/kg) and 33 days (0.32 mg/kg), respectively (vs. 20 days in control mice). Remarkably, 2 out of 12 mice treated with FK228 (0.56 mg/kg once or twice a week) survived past the observation period of 60 days. The apoptotic population of U-937 cells time-dependently increased to 37.7% after 48 hr of treatment with FK228. In addition, FK228 induced G1 and G2/M arrest and the differentiation of U-937 cells to the CD11b<sup>+</sup>/CD14<sup>+</sup> phenotype. Expression of p21<sup>WAF1/Cip1</sup> and gelsolin mRNA increased up to 654- and 152-fold, respectively, after 24 hr of treatment with FK228. FK228 caused histone acetylation in p21<sup>WAF1/Cip1</sup> promoter regions, including the Sp1-binding sites. In conclusion, (i) FK228 prolonged the survival time of *scid* mice in a lymphoma model, and (ii) the beneficial effects of FK228 on human lymphoma may be exerted through the induction of apoptosis, cell cycle arrest, and differentiation via the modulation of gene expression by histone acetylation.

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**Keywords:** Lymphoma; U-937; FK228; Histone deacetylase inhibitor

### 1. Introduction

FK228 [(E)-(1S,4S,10S,21R)-7-[(Z)-ethylidene]-4,21-diisopropyl-2-oxa-12,13-dithia-5,8,20,23-tetraazabicyclo-[8,7,6]-tricos-16-ene-3,6,9,19,22-pentanone; FR901228, depsipeptide] was isolated from *Chromobacterium violaceum* Strain WB968. It was discovered by Ueda and coworkers [1] while screening for novel antitumor compounds with the ability to reverse morphological changes in Ha-ras-transformed NIH3T3 cells. As shown in Fig. 1, FK228 contains a unique bicyclic peptide with a non-cystine disulfide bridge, and is unrelated to other HDAC

inhibitors. FK228 possesses potent antitumor activity against human tumor cell lines and inhibits the growth of human solid tumors implanted in mice [2].

FK228, like TSA, inhibits intracellular HDAC activity, which induces the accumulation of marked amounts of acetylated histone species [3]. It has been proposed that histone acetylation plays a role in transcriptional regulation, probably by relaxing specific segments of DNA and facilitating the binding of transcription factors [4–7]. The acetylation on lysine residues of histone N-terminal tails is controlled by either histone acetyltransferase (HAT) or HDAC. Acetylation leads to a loosening of histone-DNA contacts and the interaction of histones with ATP-dependent chromatin remodeling complexes [7–9].

Studies on the effect of HDAC inhibitors on APL have been performed. APL patients who have a t(15;17) translocation have an abnormal retinoic acid receptor- $\alpha$  (RAR $\alpha$ ), designated as PML/RAR $\alpha$ , resulting from protein fusion; these patients respond well to treatment with ATRA [10].

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Abbreviations: APL, acute promyelocytic leukemia; ATRA, all-trans retinoic acid; ChIP, chromatin immunoprecipitation; CTCL, cutaneous T-cell lymphoma; HDAC, histone deacetylase; PE, phycoerythrin; PTCL, peripheral T-cell lymphoma; q-PCR, quantitative polymerase chain reaction; TSA, trichostatin A; vitamin D<sub>3</sub>, 1 $\alpha$ ,25-dihydroxy-vitamin D<sub>3</sub>.

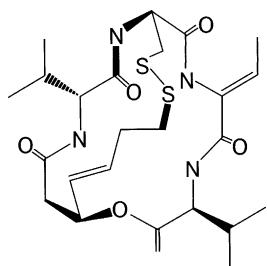


Fig. 1. Chemical structure of FK228.

In contrast, APL patients who have a t(11;17) translocation have a retinoic acid receptor fusion comprised of a promyelocytic leukemia zinc-finger (PLZF) and an RAR $\alpha$  (PLZF/RAR $\alpha$ ); these patients respond poorly to ATRA [10]. HDAC recruited by PLZF-RAR $\alpha$  is associated with ATRA resistance, because ATRA cannot inhibit the binding of PLZF to HDAC [11–14]. TSA and ATRA synergistically release the reporter gene harboring the retinoic acid response element (RARE) from transcriptional repression by PLZF-RAR $\alpha$  [11–14]. The HDAC inhibitors, TSA or butyrate, alone or in combination with ATRA induce apoptosis, cell cycle arrest, and cell differentiation in APL [15–18]. HDAC inhibitors induce the expression of p21<sup>WAF1/Cip1</sup> and gelsolin, which may contribute to cell cycle arrest and differentiation, respectively [16,19–22].

Recent Phase I trials demonstrated that FK228 has therapeutic efficacy in the treatment of patients with PTCL or CTCL [23]. Three patients with CTCL had a partial response and 1 patient with PTCL (unspecified) had a complete response. However, the mechanism of this action has not been characterized completely. In this study, we examined both *in vitro* and *in vivo* effects and the mode of action of FK228 using human lymphoma U-937 cells.

## 2. Materials and methods

### 2.1. Chemicals

FK228 was prepared at the Fujisawa Pharmaceutical Co., Ltd. The chemical structure of FK228 is shown in Fig. 1. ATRA was purchased from the Sigma Chemical Co. Vitamin D<sub>3</sub> was purchased from Wako Pure Chemical Industries, Ltd. For *in vitro* studies, all drugs were dissolved in ethanol and diluted with each experimental medium. For *in vivo* studies, FK228 was dissolved in and diluted with 10% polyoxyethylated (60 mol) hydrogenated castor oil in saline (HCO60 saline).

### 2.2. Tumor cells and animals

The following cell lines were used for this study: the histiocytic lymphoma cell line U-937, the chronic myelogenous leukemia cell line K562, and the acute lymphoblastic leukemia cell line CCRF-CEM. These cells were

maintained in RPMI-1640 medium (Gibco BRL) supplemented with 10% fetal bovine serum (Moregate), 50 units/mL of penicillin, and 50  $\mu$ g/mL of streptomycin (ICN Biomedicals). The cell numbers were determined with a hemocytometer, and viabilities were assessed by trypan blue dye exclusion. Male *scid* mice (FOX CHASE SCID C.B-17/lcr-*scid*Jcl) were purchased from CLEA Japan Inc.

### 2.3. Evaluation of *in vitro* sensitivity

Growth inhibition experiments were carried out in 96-well flat-bottomed microplates (Sumitomo Bakelite Co., Ltd.), and the number of viable cells at the end of incubation was determined using a 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay, essentially as described by Mosmann [24]. Briefly,  $2 \times 10^4$  cells per well in 100  $\mu$ L culture medium were incubated in the presence or absence of drugs for 72 hr. After the addition of 10  $\mu$ L of 5 mg/mL of MTT (Sigma) per well, the plates were incubated at 37° under 5% CO<sub>2</sub> for 4 hr. The blue crystals formed in each well were dissolved in 150  $\mu$ L of 0.04 N HCl in isopropanol. The absorbance was measured at 580 nm using a SPECTRA MAX250 (Wako Pure Chemical Industries). The IC<sub>50</sub> values were calculated with the converted log concentrations by the least squares method.

### 2.4. *In vivo* antitumor activity

We evaluated the antitumor activity of FK228 on lymphomas using a *scid* mouse model and a modification of a previously described method [25–27]. Briefly, male *scid* mice were injected i.p. with 150 mg/kg of cyclophosphamide (Shionogi & Co., Ltd.). All the mice injected with cyclophosphamide alone survived over the observation period (data not shown). Twenty-four hours after cyclophosphamide injection, mice were inoculated i.p. with  $1 \times 10^7$  U-937 cells in 500  $\mu$ L PBS. Twenty-four hours after tumor cell inoculation, the mice were treated i.p. with or without FK228 at doses ranging from 0.1 to 1 mg/kg, once or twice a week. Control mice were treated with 10% HCO60 saline twice a week. Six and twelve mice were used for FK228-treated and control groups, respectively. The observation period was 60 days after cell inoculation, and the antitumor effect was evaluated by survival time, which was analyzed for statistical significance by Peto's test.

### 2.5. Apoptosis analysis

Cells were seeded at  $1 \times 10^6$  per 25-cm<sup>2</sup> flask (Asahi Technoglass Co., Ltd.) and incubated with or without 5 ng/mL of FK228, at 37° under 5% CO<sub>2</sub> for the indicated time periods. Apoptotic cells were measured with a MEBCYTO Apoptosis Kit (Medical & Biological Laboratories). The relative fluorescent content was measured by flow cytometry (FACScan, Becton Dickinson). Ten thousand events were analyzed for each sample.

## 2.6. Cell cycle analysis

Unsynchronized cells were seeded at  $2 \times 10^6$  per 25-cm<sup>2</sup> flask and incubated with or without 5 ng/mL of FK228, at 37° under 5% CO<sub>2</sub> for the indicated time periods. In another test, cells were treated with or without 0.5 ng/mL of FK228 for 48 hr and cultured in drug-free medium for another 24 hr, according to a previously described method [28]. Cell cycle progression was evaluated by propidium iodide (PI) staining, with a modification of a previously described method [29]. Briefly, after fixing with 70% ethanol for more than 30 min, the nuclei were treated with 100 µg/mL of Ribonuclease A (Sigma) and 8 µg/mL of PI (PharMingen) for 30 min in the dark at room temperature. The relative DNA content was measured by immunofluorescence using flow cytometry. Ten thousand events were analyzed for each sample.

## 2.7. Differentiation analysis

Cells were seeded at  $1 \times 10^6$  per 25-cm<sup>2</sup> flask and incubated with or without 5 and 0.5 ng/mL of FK228, at 37° under 5% CO<sub>2</sub> for the indicated time periods. ATRA and vitamin D<sub>3</sub> were also used at concentrations of 1 and 0.1 µM, respectively, as described in previous reports [30,31]. The surface antigen expression was measured by immunofluorescence using flow cytometry. PBS plus 0.2% BSA (Sigma) and 0.1% NaN<sub>3</sub> was used as the staining medium. Briefly, the cells were washed twice with staining medium and incubated with anti-human-CD11b PE-labeled antibody (PharMingen) and anti-human-CD14 FITC-labeled antibody (PharMingen), at 4° for 30 min in the dark. After washing twice, cells were resuspended with 500 µL of staining medium; then PE and fluorescein isothiocyanate (FITC) fluorescence were measured by flow cytometry. Ten thousand events were analyzed for each sample.

## 2.8. Detection of p21<sup>WAF1/Cip1</sup> and gelsolin mRNA by real-time q-PCR

Cells were seeded at  $2 \times 10^6$  per 25-cm<sup>2</sup> flask and incubated with or without 5 ng/mL of FK228, at 37° under 5% CO<sub>2</sub> for the indicated time periods. Cells were homogenized with TRIzol reagent (Gibco BRL), and the RNA was extracted according to established methods [32]. The RNA was reverse-transcribed using Taq-Man reverse transcription reagents (PE Biosystems). Primers for p21<sup>WAF1/Cip1</sup>, gelsolin, and β-actin were designed using “primer express” software (PE Biosystems) and ordered from the Funakoshi Co., Ltd. The following sequences were used for this study: 5'-GGC AGA CCA GCA TGA CAG ATT-3' (p21<sup>WAF1/Cip1</sup> upstream), 5'-GGA TTA GGG CTT CCT CTT GGA G-3' (p21<sup>WAF1/Cip1</sup> downstream), 5'-TGG TGC AGA GAC TCT TCC AGG T-3' (gelsolin upstream), 5'-TGT TGA AGC TCT CCC AGG ACA-3' (gelsolin downstream), 5'-CAT

TGG CAA TGA GCG GTT C-3' (β-actin upstream), and 5'-GGT AGT TTC GTG GAT GCC ACA-3' (β-actin downstream). The expression of p21<sup>WAF1/Cip1</sup>, gelsolin, and β-actin genes was estimated by real-time q-PCR using SYBR green PCR master mix (PE Biosystems). The final expression value was calculated as follows: the expression level of p21<sup>WAF1/Cip1</sup> or gelsolin mRNA/the expression level of β-actin. Each value at 0 hr was set as 1.

## 2.9. ChIP assay

The ChIP assay was performed using a modification of a previously described method [22]. Cells were seeded at  $4 \times 10^6$  per 75-cm<sup>2</sup> flask and incubated overnight at 37° with 5% CO<sub>2</sub>. The next day, cells were cultured with 5 ng/mL of FK228 for 2 hr. Formaldehyde was added to the cells to a final concentration of 1%, and they were incubated for 10 min at 37°. The medium was removed, and the cells were resuspended in 1 mL of ice-cold PBS containing the serine protease inhibitor phenylmethylsulfonyl fluoride (0.1 mM). Cells were pelleted, resuspended in 0.5 mL of SDS lysis buffer (1% SDS/10 mM EDTA/50 mM Tris-HCl, pH 8.1), and then incubated on ice for 10 min. Lysates were sonicated with 3 sets of 10-sec pulses. Debris was removed from the samples by centrifugation at 15,000 g for 10 min at 4°. An aliquot of the chromatin preparation was set aside and designated as the “input fraction”. Supernatants were diluted 5-fold in immunoprecipitation buffer (0.01% SDS/1.1% Triton X-100/1.2 mM EDTA/16.7 mM NaCl/16.7 mM Tris-HCl, pH 8.1), and 80 µL of a 50% protein A Sepharose slurry containing 20 µg of sonicated salmon sperm DNA and 1 mg/mL of BSA in TE buffer (10 mM Tris-HCl/1 mM EDTA, pH 8.0) was added and incubated for 30 min at 4° with rocking. The Sepharose beads were pelleted by centrifugation at 12,000 g for 1 min at 4°, and the supernatants were placed in fresh tubes with 5 µg of anti-acetylhistone H3 or anti-acetylhistone H4 antibody (Upstate Biotechnology, Inc.) and incubated overnight at 4°. A protein A Sepharose slurry (60 µL) was added, and the samples were rocked for 1 hr at 4°. The protein A complexes were centrifuged at 12,000 g for 1 min at 4° and washed five times for 5 min each. Immune complexes were eluted twice with 250 µL of elution buffer (1% SDS/0.1 M NaHCO<sub>3</sub>) for 15 min at room temperature. Twenty microliters of 5 M NaCl was added to the combined eluates, and the samples were incubated at 65° for 4 hr. EDTA, Tris-HCl, pH 6.5, and proteinase K were then added to the samples at final concentrations of 10, 40 mM, and 0.04 µg/mL, respectively, and the samples were incubated for 1 hr at 45°. Immunoprecipitated DNA (both immunoprecipitation samples and input) was recovered by phenol/chloroform extraction and ethanol precipitation and then was analyzed by PCR. p21<sup>WAF1/Cip1</sup>-specific primers were used to carry out PCR from DNA isolated from ChIP experiments and input samples. The optimal reaction conditions for PCR were determined for each

primer pair. Cycle parameters were: denaturation at 95° for 1 min and annealing at 60° for 1 min, followed by elongation at 72° for 1 min. PCR products were analyzed by 3% agarose/ethidium bromide gel electrophoresis. The primer pairs used for p21<sup>WAF1/Cip1</sup> ChIP analysis were: 5'-ACC AAC GCA GGC GAG GGA CT-3' (P1 upstream), 5'-CCG GCT CCA CAA GGA ACT GA-3' (P1 downstream), 5'-GGT GTC TAG GTG CTC CAG GT-3' (P2 upstream), 5'-GCA CTC TCC AGG AGG ACA CA-3' (P2 downstream), 5'-CGT GGT GGT GGT GAG CTA GA-3' (P3 upstream), 5'-CTG TCT GCA CCT TCG CTC CT-3' (P3 downstream), and 5'-AGC TGA GCC GCG ACT GTG AT-3' (E2 upstream), 5'-CTG AGC GCG GCA CAA GGG TA-3' (E2 downstream).

### 3. Results

#### 3.1. In vitro sensitivities of human lymphoma U-937 and leukemia cell lines to FK228

We examined the *in vitro* sensitivities of human lymphoma U-937 and leukemia cell lines (K562 and CCRF-CEM) to FK228. FK228 inhibited the growth of U-937, K562, and CCRF-CEM cells with IC<sub>50</sub> values of 5.92, 8.36, and 6.95 nM, respectively (Table 1). The sensitivity of U-937 cells was almost the same as that of the leukemia cell lines.

#### 3.2. In vivo antitumor effect of FK228 on U-937 lymphoma in a scid mouse model

The *in vivo* antitumor activity of FK228 on lymphomas was evaluated in a scid mouse model. Mice inoculated with U-937 cells were unhealthy in appearance and had swollen abdomens. They started to die from 18 days after tumor cell inoculation, and the median survival time (MST) was 20 days (Table 2). Mice treated with FK228 at 0.1–1 mg/kg twice a week survived significantly longer (MST of 25–33 days) than control mice (Table 2 and Fig. 2B). The mice treated with FK228 at 0.1 to 1 mg/kg once a week also survived significantly longer (MST of 22.5–30.5 days) than control mice (Table 2 and Fig. 2A). All the mice treated with FK228 once a week at 0.18 and 0.56 mg/kg or twice a week at 0.32 and 0.56 mg/kg, were still alive on day 24, the time at

Table 1  
*In vitro* sensitivities of human lymphoma U-937 and leukemia cell lines to FK228

Cell line	IC <sub>50</sub> (nM)
U-937	5.92
K562	8.36
CCRF-CEM	6.95

U-937 cells and leukemia cell lines were cultured with FK228 for 72 hr, and growth inhibitory activity was determined. The experiment was repeated at least three times, and typical result is shown.

Table 2

Effect of FK228 on the survival time of scid mice inoculated with lymphoma U-937

Treatment	Dose (mg/kg)	MST (days)	% of Control
Control		20.0	100
FK228 once a week	0.1	22.5	113*
	0.18	27.0	135*
	0.32	28.0	140*
	0.56	30.5	153*
	1	28.0	140*
FK228 twice a week	0.1	25.0	125*
	0.18	26.0	130*
	0.32	33.0	165*
	0.56	27.0	135*
	1	25.5	128*

The observation period was 60 days after tumor cell inoculation, and the antitumor effect was evaluated by survival time. Survival was analyzed for statistical significance by Peto's test. Six and twelve mice were used for FK228-treated and control groups, respectively.

\* P < 0.01 vs. control.

which 11 out of 12 mice had died in the control group. Of note, 1 out of 6 mice treated once a week with FK228 at 0.56 mg/kg and 1 out of 6 mice treated twice a week with FK228 at 0.56 mg/kg survived past the observation period

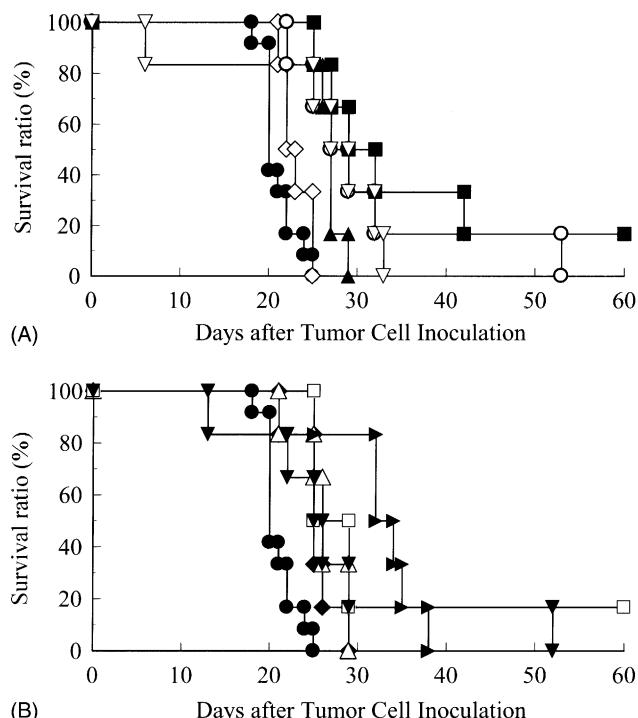


Fig. 2. Prolongation by FK228 of the survival time of scid mice inoculated with lymphoma U-937 cells. (A) The mice were treated with FK228 at 0.1 (◇), 0.18 (▲), 0.32 (○), 0.56 (■), and 1 (▽) mg/kg once a week 24 hr after U-937 cell inoculation. (B) The mice were treated with FK228 at 0.1 (◆), 0.18 (△), 0.32 (▶), 0.56 (□), and 1 (▼) mg/kg twice a week 24 hr after inoculation with U-937 cells. Control mice were treated with 10% HCO60 saline (●) twice a week. The observation period was for 60 days after tumor cell inoculation. Six and twelve mice were used for FK228-treated and control groups, respectively.

of 60 days. Mice treated with 1 mg/kg of FK228 once or twice a week started to die earlier than control mice, demonstrating toxicity at this higher concentration. Thus, FK228 had antitumor activity on lymphomas as it prolonged the survival time of *scid* mice inoculated with U-937 cells.

### 3.3. Effect of FK228 on apoptosis of U-937 lymphoma cells

To characterize the antitumor mechanism of FK228 on lymphomas, we examined the effects of FK228 on apop-

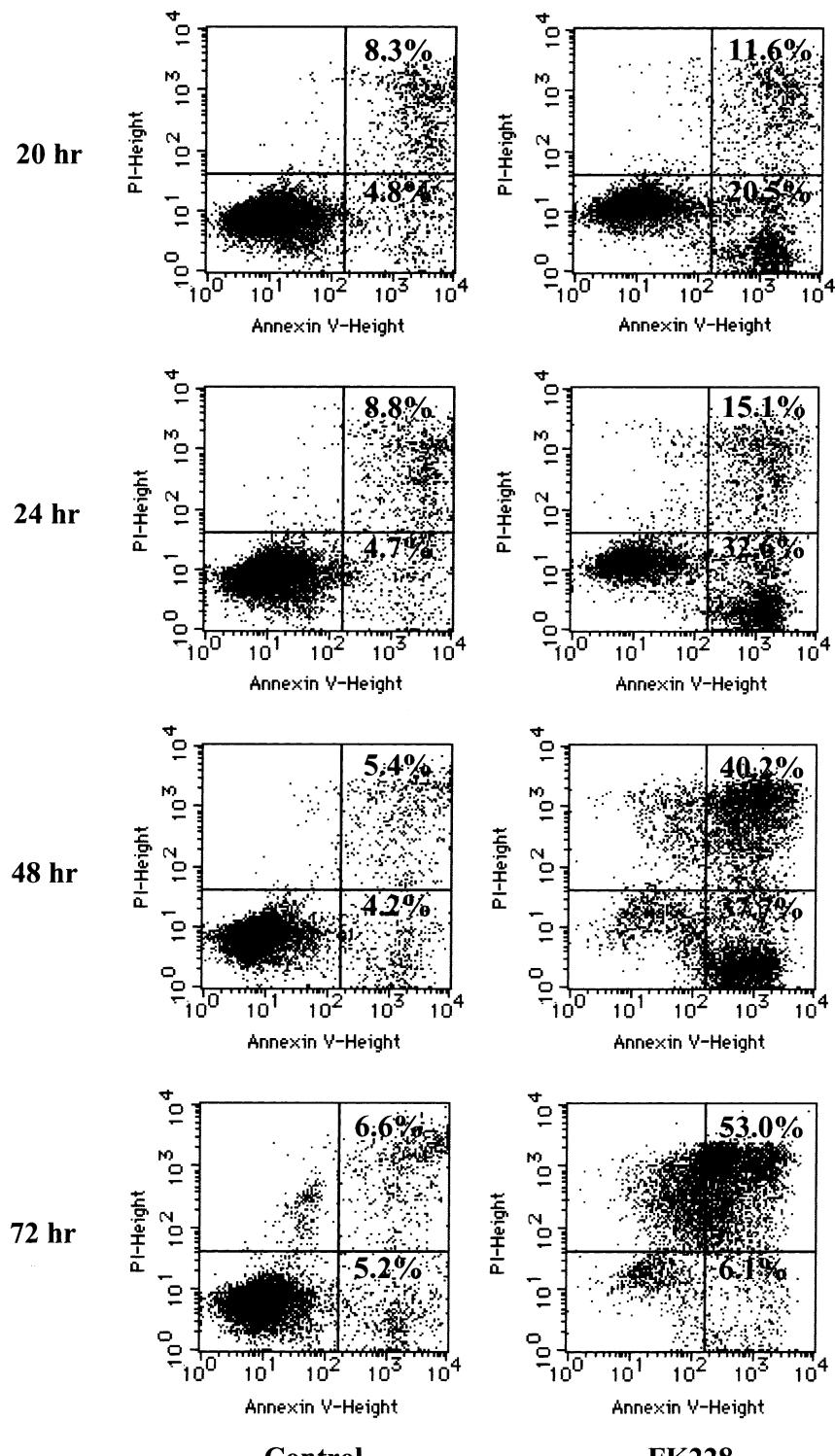


Fig. 3. Induction of apoptosis in U-937 cells treated with FK228. Apoptosis in U-937 cells was detected by the annexin V/PI assay after treatment with or without 5 ng/mL of FK228 for 20, 24, 48, and 72 hr. Cells that were both annexin V-positive and PI-negative were in the early stage of apoptosis, whereas cells that were annexin V-positive and PI-positive were in the late stages of apoptosis or necrosis.

tosis, cell cycle arrest, and differentiation of U-937 cells. In this test, FK228 was used at a concentration of 5 ng/mL, based on the  $IC_{50}$  values of the *in vitro* sensitivity tests. Up until 16 hr, there was no difference between FK228-treated and control cells (data not shown). Control cells that were both annexin V-positive and PI-negative corresponded to a group in the early phase of apoptosis and represented 4.8% of the total population at 20 hr; this percentage did not change up to 72 hr (Fig. 3). With FK228 treatment, the proportion of apoptotic cells at 20 hr was 20.5% and this percentage increased in a time-dependent manner up until 48 hr. The annexin V-positive and PI-positive population,

which corresponds to cells in the late phases of apoptosis or necrosis, was not changed from 20 to 72 hr in the controls. This FK228-treated cell population increased in a time-dependent manner up until 72 hr at which time it comprised 53.0% of the cells. Thus, the growth inhibitory effect of FK228 on these lymphoma cells may, in part, be through the induction of apoptosis.

### 3.4. Effect of FK228 on the U-937 cell cycle

To evaluate the effect of FK228 on the U-937 cell cycle, DNA histograms were studied. Up until 10 hr, there was

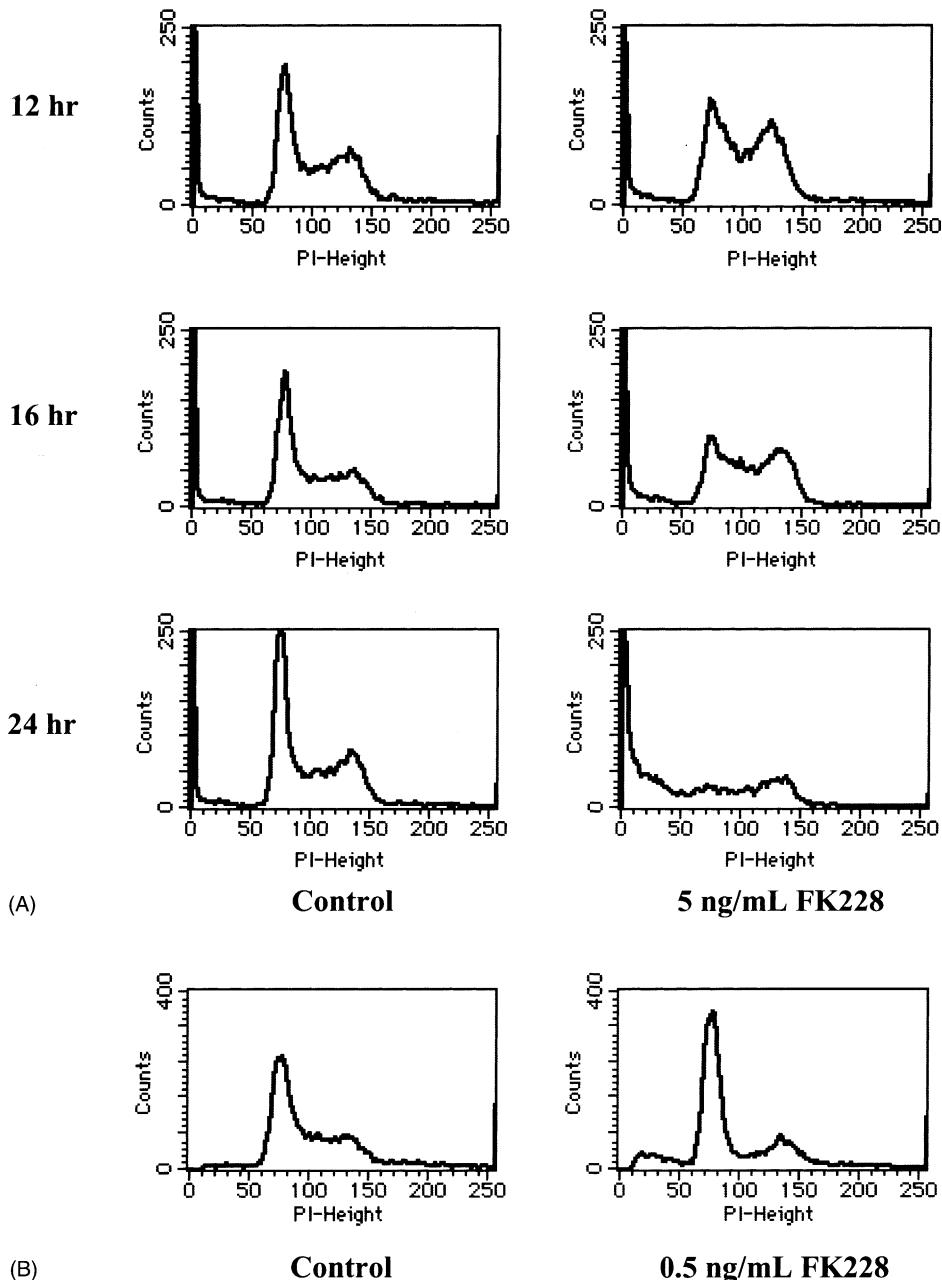
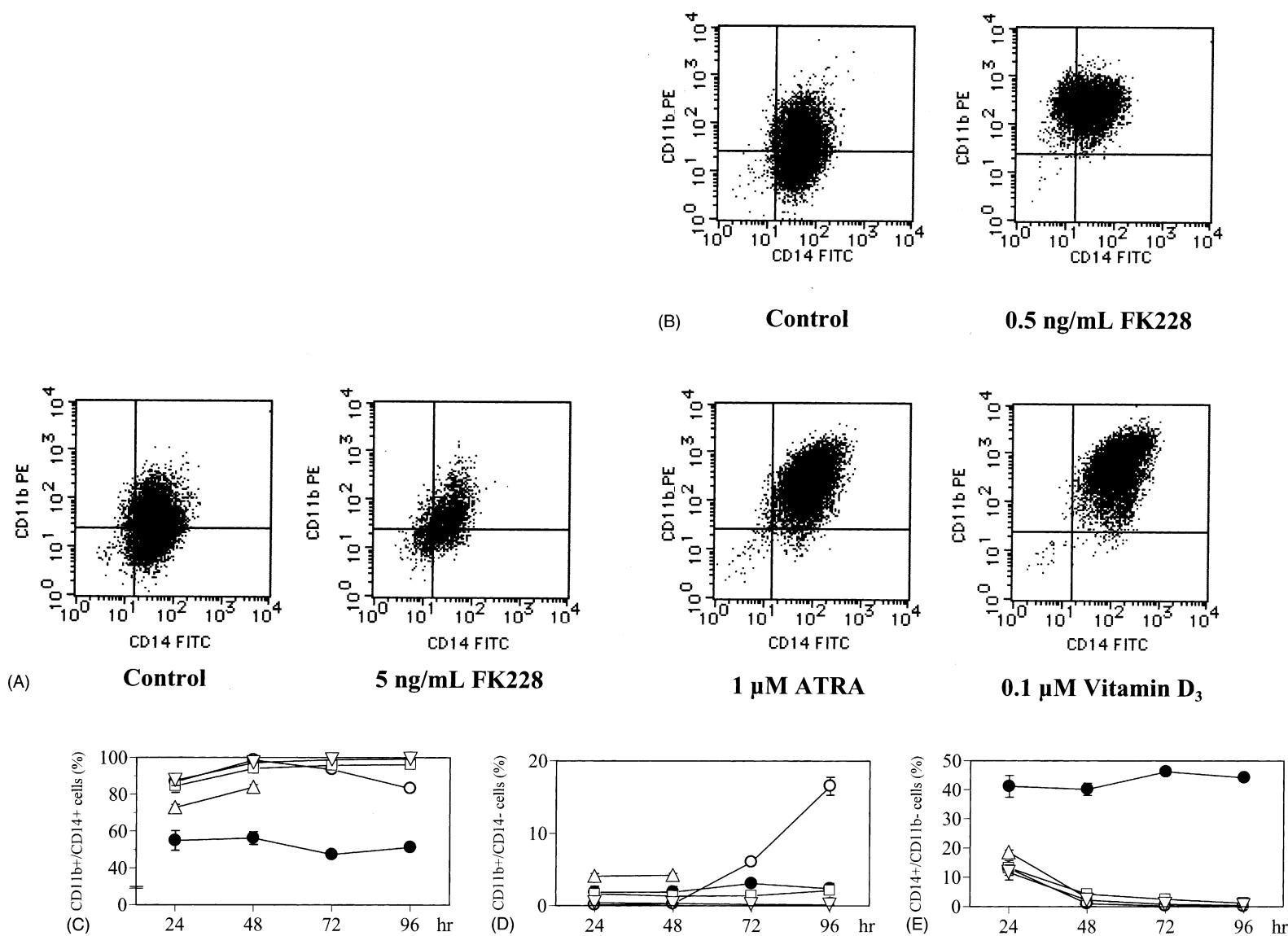


Fig. 4. DNA histogram of U-937 cells treated with FK228. (A) Cells were treated with or without 5 ng/mL of FK228 for 12, 16, and 24 hr. (B) Cells were treated with or without 0.5 ng/mL of FK228 for 48 hr and cultured in drug-free medium for another 24 hr.



**Fig. 5.** Expression of CD11b and CD14 antigens in U-937 cells treated with FK228, ATRA, or vitamin D<sub>3</sub>. Expression of CD11b and CD14 in U-937 cells was detected by flow cytometry analysis after treatment with or without (A) 5 ng/mL of FK228 for 24 hr, and (B) 0.5 ng/mL of FK228, 1 μM ATRA, or 0.1 μM vitamin D<sub>3</sub> for 96 hr. The percentages of CD11b<sup>+</sup>/CD14<sup>+</sup> cells (C), CD11b<sup>+</sup>/CD14<sup>-</sup> cells (D), and CD14<sup>+</sup>/CD11b<sup>-</sup> cells (E) after treatment with 5 ng/mL of FK228 (Δ) for 24–48 hr, or 0.5 ng/mL of FK228 (○), 1 μM ATRA (□), or 0.1 μM vitamin D<sub>3</sub> (▽) for 24–96 hr are shown as means ± SEM of 3 independent experiments. Control are shown as (●).

no difference between FK228-treated and control cells (data not shown). G2/M arrest was caused by treatment with 5 ng/mL of FK228 for 12 hr (Fig. 4A). Reduction of the G1 fraction started at 12 hr, and the sub-G1 fraction increased at 24 hr in cells treated with 5 ng/mL of FK228. When U-937 cells were treated with 0.5 ng/mL of FK228 for 48 hr and then cultured in drug-free medium for another 24 hr, G1 arrest was observed (Fig. 4B). This result confirmed that we were analyzing the cycle of viable cells since most of the dead cells were removed at the drug-washout step (data not shown). These results indicate that FK228 induced G1 and G2/M cell cycle arrest in U-937 cells.

### 3.5. Effects of FK228, ATRA, and vitamin D<sub>3</sub> on the differentiation of U-937 cells

To investigate the effect of FK228 on the differentiation of U-937 cells, the expression of CD11b and CD14 was studied. ATRA and vitamin D<sub>3</sub> were used as positive controls at concentrations of 1 and 0.1 μM, respectively. In control cells, the relative proportions of the three populations did not change from 24 to 96 hr (Fig. 5C–E). In the cells treated with 5 ng/mL of FK228, the CD11b<sup>+</sup>/CD14<sup>+</sup> population increased to 72.8% at 24 hr, but the total number of stained cells was decreased, because 5 ng/mL of FK228-induced apoptosis from 20 hr (Figs. 3 and 5A). To examine the effect of FK228 on differentiation more clearly, U-937 cells were treated with 0.5 ng/mL of FK228. Similarly, the CD11b<sup>+</sup>/CD14<sup>+</sup> population increased to 86.8% at 24 hr in the cells treated with 0.5 ng/mL of FK228 (Fig. 5C). ATRA at 1 μM and vitamin D<sub>3</sub> at 0.1 μM also induced the differentiation of U-937 cells (to CD11b<sup>+</sup>/CD14<sup>+</sup>-expressing cells) from 24 hr, with the CD11b<sup>+</sup>/CD14<sup>+</sup>-expressing population being 84.6 and 87.6%, respectively, of the total. Interestingly, after 96 hr of treatment with 0.5 ng/mL of FK228, the CD11b<sup>+</sup>/CD14<sup>+</sup> cell population was reduced while the CD11b<sup>+</sup>/CD14<sup>−</sup> population was increased (Fig. 5B–D). In contrast, ATRA and vitamin D<sub>3</sub> only induced an increase in cells expressing CD11b<sup>+</sup>/CD14<sup>+</sup> (Fig. 5B and C). The viability of cells treated with 0.5 ng/mL of FK228, 1 μM ATRA, or 0.1 μM vitamin D<sub>3</sub> was the same as control cells from 24 to 96 hr (data not shown). However, these agents caused growth inhibition starting at 72 hr; by 96 hr inhibition reached 62.1, 85.1, and 54.6%, respectively, of the total cell population (data not shown). Thus, FK228 induced the differentiation of U-937 cells, which may be related to its growth inhibitory effect.

### 3.6. Changes in the expression of p21<sup>WAF1/Cip1</sup> and gelsolin mRNA by FK228

We examined the effect of FK228 on the expression of p21<sup>WAF1/Cip1</sup> and gelsolin mRNA in U-937 cells. To measure the exact level of p21<sup>WAF1/Cip1</sup> and gelsolin gene

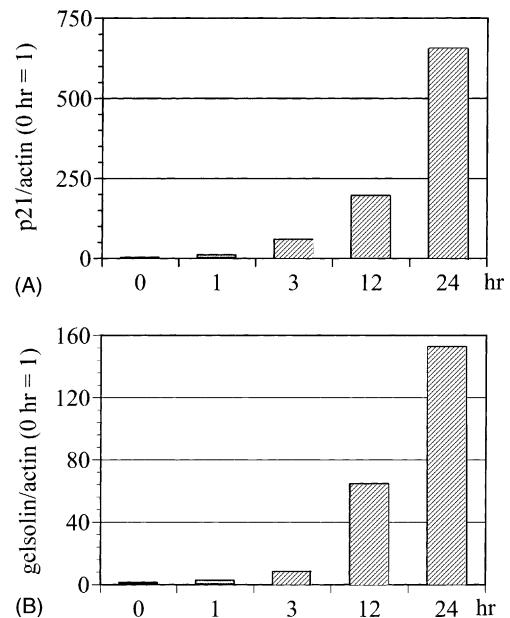


Fig. 6. Changes in the expression of p21<sup>WAF1/Cip1</sup> and gelsolin mRNA in U-937 cells induced by FK228. The quantitative changes in the expression of p21<sup>WAF1/Cip1</sup> (A) and gelsolin (B) mRNA by U-937 cells were detected by real-time q-PCR after treatment with or without 5 ng/mL of FK228 for 1–24 hr. Each experiment was repeated at least three times, and typical results are shown.

expression, we used the real-time q-PCR method. FK228 induced the expression of p21<sup>WAF1/Cip1</sup> mRNA 9-fold at 1 hr and time-dependently increased it by 654-fold at 24 hr (Fig. 6A). Similarly, FK228 increased the expression of gelsolin mRNA 2-fold at 1 hr and increased it by 152-fold at 24 hr (Fig. 6B). The onset of p21<sup>WAF1/Cip1</sup> and gelsolin gene expression preceded the onset of apoptosis, cell cycle arrest, and differentiation.

### 3.7. FK228 acetylation of histone associated with the p21<sup>WAF1/Cip1</sup> gene promoter

To confirm the hypothesis that the modulation of gene expression by FK228 was induced by the acetylation of histone associated with target gene promoter domains, acetylation of histone H3 and H4 associated with the p21<sup>WAF1/Cip1</sup> promoter was examined by the ChIP assay. U-937 cells were treated with or without 5 ng/mL of FK228 for 2 hr, and the chromatin extracts were immunoprecipitated with antibodies to acetylated histone H3 or H4. The isolated DNA was amplified by using primers to the p21<sup>WAF1/Cip1</sup> promoter and exon 2 regions, as shown in Fig. 7A. After treatment with FK228, the acetylation of histone H3, specific for the P1 and P2 region of the p21<sup>WAF1/Cip1</sup> promoter, was increased (Fig. 7B). The acetylation of histone H4 by FK228 was observed in all regions of the p21<sup>WAF1/Cip1</sup> promoter and exon 2 (Fig. 7B). These findings suggest that FK228 causes histone acetylation of the p21<sup>WAF1/Cip1</sup> promoter regions and that it contributes to the modulation of gene expression.

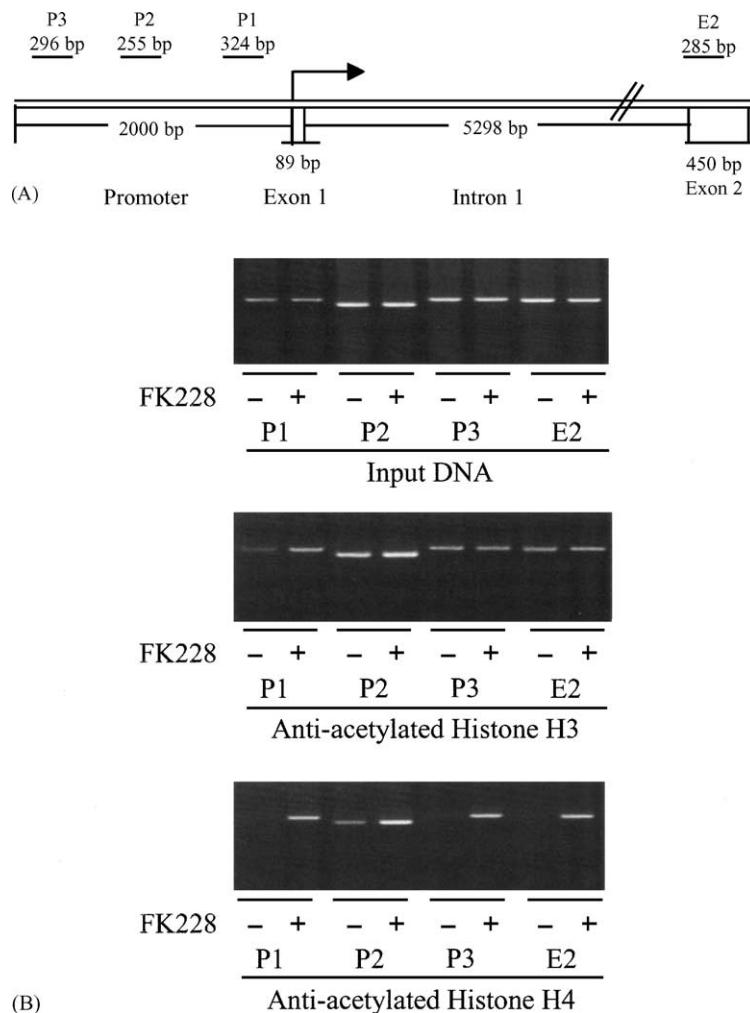


Fig. 7. FK228 induction of the acetylation of the histone associated with the *p21<sup>WAF1/Cip1</sup>* gene promoter. (A) Position of the primers in the *p21<sup>WAF1/Cip1</sup>* gene. (B) Treatment of U-937 cells with or without 5 ng/mL of FK228 for 2 hr and immunoprecipitation of chromatin extracts with input DNA or antibodies to acetylated histone H3 or H4.

#### 4. Discussion

In this study, we examined the *in vitro* and *in vivo* effects and the mode of action of FK228 on human lymphoma-derived U-937 cells and on lymphomas raised in *scid* mice. FK228 strongly inhibited the growth of U-937 cells *in vitro* and prolonged the survival time of *scid* mice inoculated with these cells (Tables 1 and 2 and Fig. 2). Our results demonstrated that FK228 has significant curative activity in this model. This is the first report demonstrating that an HDAC inhibitor alone can prolong the survival time of *scid* mice in a lymphoma model. Recent Phase I trials showed that FK228 has therapeutic efficacy in the treatment of patients with PTCL or CTCL [23]. These results suggest that FK228 may also be effective in prolonging the survival time of patients with lymphomas as well as leukemias.

As shown in Fig. 3, 5 ng/mL of FK228-induced apoptosis of U-937 cells at 20 hr. In the present study, FK228 increased the expression of *p21<sup>WAF1/Cip1</sup>* and gelsolin

mRNA at 1 hr post-treatment. Additionally, we showed that the onset of *p21<sup>WAF1/Cip1</sup>* and gelsolin gene expression preceded the onset of apoptosis, cell cycle arrest, and differentiation of U-937 cells (Fig. 6). HDAC inhibitors have been demonstrated to induce apoptosis in certain cell lines through a process that requires protein synthesis and leads to the induction of caspase-3 protease activity [20]. One FK228-induced gene that has been linked to apoptosis is gelsolin, a substrate for caspase-3 [20]. The mechanism of the FK228-induced apoptosis of U-937 cells has not been characterized fully but may involve the modulation of gene expression by FK228.

Continuous exposure to 5 ng/mL of FK228 for 12 hr caused G2/M arrest, while cells exposed to 0.5 ng/mL of FK228 for 48 hr and then cultured in drug-free medium for another 24 hr were arrested in G1 phase (Fig. 4). FK228 induced the expression of *p21<sup>WAF1/Cip1</sup>* mRNA (Fig. 6A). There is a report that *p21<sup>WAF1/Cip1</sup>* has effects on both G1 and G2/M cell cycle arrest [33]. Similar to our results for FK228, suberoylanilide hydroxamic acid (SAHA) induced

both G1 and G2/M cell cycle arrest [34]. The suggested mechanism was that SAHA mediated cell cycle arrest by inducing p21<sup>WAF1/Cip1</sup> and p27<sup>Kip1</sup>, and by hypophosphorylating retinoblastoma protein [34]. It has also been reported that other HDAC inhibitors (TSA, butyrate, and MS-27-275) induce the expression of p21<sup>WAF1/Cip1</sup>, which may contribute to cell cycle arrest [16,19,22]. The mechanism of U-937 cell cycle arrest by FK228 has not been characterized clearly, but induction of p21<sup>WAF1/Cip1</sup> mRNA by FK228 may be involved. Furthermore, p21<sup>WAF1/Cip1</sup> has also been observed to be involved in cell differentiation and senescence [35–37], and may play a role in FK228-mediated reversal of transformed cell phenotypes [1].

FK228 at 5 and 0.5 ng/mL induced the differentiation of U-937 cells, to CD11b<sup>+</sup>/CD14<sup>+</sup>-expressing cells, after 24 hr (Fig. 5A and C). Interestingly, after treatment with 0.5 ng/mL of FK228 for 96 hr, the number of CD11b<sup>+</sup>/CD14<sup>+</sup> cells was reduced while CD11b<sup>+</sup>/CD14<sup>−</sup> cell numbers increased (Fig. 5B–D). In contrast, ATRA and vitamin D<sub>3</sub> only induced an increase of the CD11b<sup>+</sup>/CD14<sup>+</sup> cell population (Fig. 5B and C). CD11b ( $\beta_2$ -integrin) is a granulocyte/monocyte differentiation marker, and CD14 is a monocyte-associated antigen. CD11b<sup>+</sup>/CD14<sup>+</sup> cells and CD11b<sup>+</sup>/CD14<sup>−</sup> cells correspond to monocytes and granulocytes, respectively [38–40]. The present results for ATRA and vitamin D<sub>3</sub> are consistent with a previous report, in which ATRA and vitamin D<sub>3</sub> induced distinct monocytic differentiation pathways in U-937 cells [41]. Differentiation induced by ATRA resulted in increased retinoic acid receptor- $\beta$  (RAR $\beta$ )/retinoid X receptor (RXR) DNA binding, the activation of retinoic acid responsive element (RARE)-dependent transcription, and the increased expression of RAR $\beta$  by vitamin D<sub>3</sub>. This caused the formation of vitamin D<sub>3</sub> receptor/RXR DNA-binding complexes on both vitamin D<sub>3</sub> responsive elements and RAREs [41]. Our results demonstrate that FK228 may not only mediate the differentiation of U-937 cells to monocytes but also to granulocytes. FK228 induced the expression of gelsolin mRNA (Fig. 6B). It has been reported that HDAC inhibitors, such as TSA and MS-27-275, induce the expression of gelsolin, which may contribute to differentiation [20,21]. The mechanism of FK228-induced U-937 cell differentiation has not been characterized, but the induction of gelsolin mRNA by FK228 may have a role. It has been suggested that the differentiation of tumor cells brings with it some antitumor effects, such as the terminal differentiation of primary blast cells, growth inhibition, and the up-regulation of cytotoxic T lymphocyte activity by the expression of surface makers on tumor cells [42–44]. FK228 may exert such favorable effects by inducing differentiation.

In the ChIP assay, treatment of U-937 cells with 5 ng/mL of FK228 for 2 hr specifically caused the acetylation of histone H3 in the P1 and P2 regions of the p21<sup>WAF1/Cip1</sup> promoter and the acetylation of histone H4 in all regions of the p21<sup>WAF1/Cip1</sup> promoter and exon 2 (Fig. 7B). The P1

region includes the Sp1-binding sites, also called the GC-box, which are important for the expression of the human p21<sup>WAF1/Cip1</sup> gene induced by HDAC inhibitors, such as TSA, butyrate, and SAHA [45–47]. Transcription modulators of Sp1, Sp3, and ZBP-89/BFCOL1 bind to the Sp1-binding sites of the p21<sup>WAF1/Cip1</sup> promoter and play an important role in p21<sup>WAF1/Cip1</sup> gene expression induced by HDAC inhibitors [48–52]. These results suggest that FK228 causes acetylation of histone H3 and H4 in Sp1-binding sites, which induces the expression of p21<sup>WAF1/Cip1</sup>. It has been reported that an HDAC inhibitor, trapoxin (TPX), increased the acetylation of histone H3 in both the −179 to +44 and −345 to +44 regions of the p21<sup>WAF1/Cip1</sup> promoter, which overlap with the P1 region, but did not cause the acetylation of histone H4 in these regions in MDA-MB-435 cells, a human ductal breast carcinoma cell line [53]. SAHA caused the acetylation of histone H3 and H4 specifically in the P2 and P3 regions, but the acetylation of histone H4 in the P1 region was increased only 1.1-fold in T24 cells, a human bladder carcinoma cell line [22]. It is surprising that the acetylation pattern is different for FK228, TPX, and SAHA treatments, which may be due to differences in the cell types used or inhibition patterns of HDAC subtypes (HDAC 1–9) [54]. In any case, our results strongly suggest that the acetylation of histone H3 or H4 in the p21<sup>WAF1/Cip1</sup> promoter region by HDAC inhibitors induces the expression of the p21<sup>WAF1/Cip1</sup> gene.

Most patients with malignant lymphoma have the non-Hodgkin's type and usually receive treatment with a doxorubicin-containing regimen, such as cyclophosphamide, doxorubicin, vincristine, and prednisone [55,56]. However, the cure rate by chemotherapy alone is only approximately 50% [56]. Although new drugs for malignant lymphoma such as interferon- $\alpha$  have been developed and used in combination with conventional chemotherapy regimens, their efficacy has not been established [57–59]. HDAC inhibitors show promise as potential candidate drugs for use in the treatment of lymphomas.

HDAC inhibitors are also expected to have a beneficial effect on APL [15–18]. There are characteristic chromosomal translocations in APL that code for transforming fusion proteins capable of recruiting HDAC. APL cells with PLZF/RAR $\alpha$ , an abnormal retinoic acid receptor formed by protein fusion, respond poorly to treatment with ATRA [10–14]. ATRA treatment in combination with HDAC inhibitors can overcome ATRA resistance by inducing APL cell apoptosis, cycle arrest, and differentiation [15–18]. The apoptotic effect induced by HDAC inhibitors can prevent APL cells from returning to the malignant state.

In conclusion, (i) FK228 can prolong the survival time of scid mice in a lymphoma model, and (ii) the beneficial effect of FK228 on human lymphoma may be brought on through the induction of apoptosis, cell cycle arrest, and differentiation driven by the modulation of gene expression by histone acetylation.

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